

Utilization of NASA Satellite Remote Sensing Program in Developing Space-borne Warning Systems for Natural Disaster Risk Management

Yang Hong

Goddard Earth and Science Technology Center and NASA Goddard Space Flight Center
Mailing: NASA Goddard Space Flight Center, Mail code 613.1, Greenbelt, MD 20771
Phone: 1-301-614-6232; Fax: 1-301-614-5492; Email: yanghong@agnes.gsfc.nasa.gov

1. NASA EOS program

From space we can study all of the elements of our home planet, from a broader perspective than we can on Earth. The NASA Earth Observing System (EOS) program is a major component of NASA's Earth-Sun System Missions. The EOS (<http://eos.gsfc.nasa.gov/>) program includes a series of satellites, a science component, and a data system supporting a coordinated series of polar-orbiting and low inclination satellites for long-term global observations of the land surface, biosphere, solid Earth, atmosphere, and oceans, which is enabling an improved understanding of the Earth as an integrated system. The focus of EOS is to assess Earth's climate and environmental change. Particularly, natural hazards such as storms, forest fires, and atmospheric changes are observed as well as erosion and city development. The effects of the melting glaciers, due to global warming, can also be observed. Our Earth is constantly changing, and NASA EOS satellites are constantly acquiring imagery and taking measurements so that we can understand those ongoing changes and will have the ability to predict what will happen in the future. This article briefs recent development of EOS, with focus on Earth's precipitation measuring mission (Section 2), its application to natural hazards (Section 3), and its ultimate goal—space-borne early warning system for natural hazards (Section 4).

2. Global Precipitation Monitoring System

During the past twenty-five years information from a number of satellites has been compiled to give a better understanding of how precipitation is distributed across our planet. Rainfall measurement from satellites has been an active field of study for decades. Both visible/infrared and microwave radiometers are used to infer precipitation. The World Climate Research Programme established the Global Precipitation Climatology Project that has been succeeding in producing precipitation data of 2.5 x 2.5 (latitude-longitude) monthly accumulations of estimates over the last three decades. More accurate and higher spatio-temporal resolution of precipitation information is critical in understanding the balance of Earth's energy and water cycle exchange that drives global changes and water resources applications. One of the major efforts toward this direction is the development of Precipitation Estimation from Remote Sensed Information using Artificial Neural Network-Cloud Classification System. The PERSIANN-CCS extracts local and regional cloud features from infrared (10.7 mm) geostationary satellite imagery in estimating finer-scale (0.04° x 0.04°, and 30 min) rainfall distribution. Later, an automated neural network for satellite-based rainfall estimation, entitled Self-Organizing Nonlinear Output model, was developed to adjust the mapping functions by using passive microwave precipitation estimates from Low-Earth Orbiting satellite platforms. Real-time data from the current version of PERSIANN-CCS is available online (<http://hydis8.eng.uci.edu/CCS/>). Further investigation of this research extends to a new

development: “Satellite-based precipitation estimation using watershed segmentation and growing hierarchical self-organizing map”. This methodology draws on techniques from machine learning and morphology to produce high-resolution, short-duration rainfall estimates in an automated fashion. First, cloud systems are identified from geostationary infrared imagery using morphology based watershed segmentation algorithm. Second, a novel pattern recognition technique, Growing Hierarchical Self-Organizing Mapping, is used to classify clouds into a number of clusters with hierarchical architecture. Finally, each cloud cluster is associated with co-registered passive microwave rainfall observations through a cumulative histogram matching approach. The network was initially trained using remotely sensed geostationary infrared satellite imagery and hourly ground-radar data in lieu of a dense constellation of polar-orbiting spacecraft such as the proposed global precipitation measurement (GPM) mission.

Other examples of recent development include NASA TRMM-based Multi-satellite Precipitation Analysis (TMPA), which provides a calibration-based sequential scheme for combining precipitation estimates from multiple satellites, as well as gauge analyses where feasible, at fine scales ($0.25^{\circ} \times 0.25^{\circ}$ and 3-hourly) over the latitude band 50° N-S (<http://trmm.gsfc.nasa.gov>). It is anticipated that the TRMM will be continued by the development of the GPM (<http://gpm.gsfc.nasa.gov>). The TRMM-like GPM is envisioned as a constellation of operational and dedicated research satellites to provide global precipitation estimation (90° N-S). The success of multi-satellite precipitation estimation program provides a real-time monitoring of global precipitation at every 30-minutes resolution, which has been suitable as a supplement of the *in situ* data in providing precipitation information for wide applications.

3. Use of Satellite Remote Sensing Techniques in Natural Hazard Mitigation

Using a variety of advanced satellite remote sensing products, scientists approached the study of how the NASA space-based Earth observations can be applied to build disaster early detection systems that promise to protect lives, particularly from the hazards of impending hurricane, floods and landslides. Flood and associated landslides are one of the most widespread natural hazards on Earth, responsible for thousands of deaths and billions of dollars in property damage every year. The havoc of these disasters is felt most acutely in parts of the world without extensive ground observation networks. Satellite remote sensing data are perhaps the best source of information in many countries for monitoring such hazards. Recent new developments are poised to offer a potential solution to the significant challenge of creating cost-effective early warning systems needed most particularly in conventional data scarce areas.

3.1 Mapping Severe Flood Events from space-based precipitation estimation

In many countries around the world, satellite-based precipitation estimation may be the best source of rainfall data due to insufficient hydrometeorological networks, long delays in data transmission and absence of data sharing in many trans-boundary river basins. Satellite remote sensing data acquired and processed in real-time can now provide the information needed to monitor severe flood events around the world by integrating satellite-derived forcing data with hydrological models. Scientists in NASA Goddard put forward practical methods of mapping floods globally using a combination of satellite remote sensing datasets. The three major components included in the framework are 1)

NASA TRMM- based Multi-satellite Precipitation Analysis (<http://trmm.gsfc.nasa.gov>); 2) characterization of land surface including digital elevation from NASA SRTM, topography-derived hydrologic parameters such as flow direction, flow accumulation, basin, and river network etc.; and 3) spatially distributed hydrological models to generate surface runoff and route excess precipitation. The key to computational efficiency of transferring space-borne observations into operational monitoring system lies in the simplification of complex flood prediction models. While these simplifications introduce some computational errors, it is demonstrated through sensitivity analysis that the errors are minor compared to the benefits accrued from computation efficiency.

One good example of such application is flood forecasting for international river basins. There are around 263 international river basins (IRB) listed by UNESCO. For flood forecasting in the lower riparian nations of these IRB, real-time rainfall data from upstream nations is naturally the most critical factor governing the forecasting effectiveness. However, many upstream nations fail to provide data to the lower riparian nations due to a lack of in-situ rainfall measurement infrastructure or a lack of a treaty for real-time sharing of rainfall data. A potential solution is therefore to use satellites that inherently measure rainfall across political boundaries. The motivation stemmed from the critical challenge in identifying the specific IRBs that would benefit from a pre-programmed satellite-based forecasting system in anticipation of the NASA GPM mission.

3.2 Global Landslide Monitoring

Landslides triggered by rainfall can possibly be foreseen in real time by jointly using rainfall intensity-duration thresholds and information related to land surface susceptibility. One highlight of remote sensing applications is to examine the possibility of development of a real-time monitoring/warning system for global landslides triggered by intense rainfall. Scientists in NASA Goddard outlined an experimental monitoring system for global rainfall-triggered landslide occurrence. A global landslide susceptibility map was first derived using SRTM derived elevation and slopes, MODIS land cover classification data, soil texture, and soil types. This map is then overlain with the near real-time TRMM multi-satellite rainfall data to identify when landslide-prone areas receive heavy rainfall. Success of the results provides a basis to develop an early warning system for global landslide potential.

4. Toward Space-borne Early Warning Systems

It has been established by experience that the most effective means to reduce the property damage and life loss caused by natural hazards is the use of disaster early warning systems. However, no system currently exists at either a global scale to monitor/detect these conditions due to the lack of extensive ground-based observing network in many parts of the world. Recent advances in satellite remote sensing technology and increasing availability of high-resolution geospatial products around the globe have provided an unprecedented opportunity for such a study. Satellite observations can be of essential value improving our understanding of the occurrence of these hazardous events and in possibly lessening their impact on the local economies and reducing injuries, if they can be focused to create more reliable warning systems in cost-effective ways. In this regard, Scientists in NASA and other agencies have been taking

initiatives in addressing these opportunities and challenges. Two operational real-time monitoring systems for floods (http://trmm.gsfc.nasa.gov/publications_dir/potential_flood.html) and landslide potential (http://trmm.gsfc.nasa.gov/publications_dir/potential_landslide.html) have been displayed on the NASA websites, respectively. A major outcome of this work is the availability of a first-time global assessment of flood and landslide risk, which is only possible because of the utilization of satellite remote sensing products. This experimental system can be updated continuously due to the availability of new satellite remote sensing products.

The research listed above is an initial step in addressing these opportunities and challenges. For example, floods and associated landslides account for the largest number of natural disasters and affect more people than any other types of natural disasters around the world. Recent mitigation approaches build on the success of the existing prediction techniques by combining new satellite-based data sets of global precipitation and land surface characteristics (e.g., elevation, soil, vegetation) to improve existing decision support system and expand them into regional or even global flood and landslide detection/monitoring/forecasting systems for disaster management, preparedness, and mitigation activities around globe. The space-borne operational systems reported here, if pursued through wide interdisciplinary efforts as recommended herein, bear the promise to expand many local monitoring systems into a global real-time decision-making support system for disaster preparedness and risk management across the world.

Relevant References (PDF files available upon request)

- Hong, Yang*, R.F. Adler, G.J. Huffman, 2006: Evaluation of the NASA TRMM Potential in Global Landslide Hazard Assessment, Geophysical Research letter (accepted)
- Hong, Yang*, Robert Adler, Andrew Negri, George Huffman, Scott Curtis, Guojun Gu, 2006, Global-scale Runoff Generation and Flow Routing for Potential Flood Area Delineation. Part I: Flow Routing using Macro-scale Cell-to-Cell Algorithm, (submitted) Journal of Hydrology.
- Hong, Yang*, Robert Adler, George Huffman, Andrew Negri, 2006, A Conceptual Framework for Space-borne Flood Detection/Monitoring System, (accepted) Journal of Natural Hazards,
- Hong, Yang*, Robert Adler, George Huffman, and Andrew Negri, 2006: Use of Satellite Remote Sensing Data in mapping of global shallow landslides Susceptibility, (accepted) Journal of Natural Hazards
- Hong, Yang*, R.F. Adler, G.J. Huffman, 2006: An Experimental Global Monitoring System for Rainfall-triggered Landslides using Satellite Remote Sensing Information, *IEEE Trans. on Geosciences and Remote Sensing* (accepted)
- Hong, Yang*, D. Gochis, J.T. Chen, K.L. Hsu, and S. Sorooshian, 2006, Diurnal Variation and Evolution of Rainfall Observed by both Satellite and Gauge in the North American Monsoon, (in press), Journal of Hydrometeorology
- Hong, Yang*, Y. Chiang, Y. Liu, K.L. Hsu, S. Sorooshian, 2006, Satellite-based Precipitation Estimation using Watershed Segmentation and Growing Hierarchical Self-Organizing Feature Mapping Techniques, (in Press), International Journal of Remote Sensing
- Hong, Yang*, Hsu, Kuo-lin; Moradkhani, Hamid; Sorooshian, Soroosh, 2006: Uncertainty quantification of satellite precipitation estimation and Monte Carlo assessment of the error propagation into hydrologic response, *Water Resour. Res.*, Vol. 42, No. 8, W08421, 10.1029/2005WR004398
- Hong, Yang*, K.L. Hsu, S. Sorooshian, and X. Gao (2005), Improved representation of diurnal variability of rainfall retrieved from the Tropical Rainfall Measurement Mission Microwave Imager adjusted Precipitation Estimation From Remotely Sensed Information Using Artificial Neural Networks (PERSIANN) system, *J. Geophys. Res.*, 110, doi:10.1029/2004JD005301.

- Hong, Yang*, K.L. Hsu, S. Sorooshian, and X. Gao (2005), Self-organizing nonlinear output (SONO): A neural network suitable for cloud patch-based rainfall estimation from satellite imagery at small scales, *Water Resour. Res.*, 41, W03008, doi:10.1029/2004WR003142.
- Hong, Yang*, K. Hsu, S. Sorooshian, 2005: A real-time operational precipitation estimation system from multi-platform and multi-channel satellite data, published by International Precipitation Working Group.
- Hong, Yang, K. Hsu, S. Sorooshian, and J. Shuttleworth, 2004: Satellites Provide Data to Estimate Rainfall at Global and Regional Scales. *Southwest hydrology, Vol. 3, No. 1*
- Hong, Yang*, K.L. Hsu, X. Gao, and S. Sorooshian, 2004, Precipitation Estimation from Remotely Sensed Imagery Using Artificial Neural Network - Cloud Classification System, *Journal of Applied Meteorology*, Vol. 43, No. 12, pp. 1834–1853
- Hong, Yang*, K.L. Hsu, S. Sorooshian, X.Gao, B. Imam, 2004, Satellite-based High-resolution Precipitation Estimation and its Application to Hydrological Modeling and Flood Forecasting, *book chapter* for International Symposium on Flood Forecasting and Management with GIS and Remote Sensing, 35-44
- Hong, Yang*, K. Hsu, and S. Sorooshian, 2003: Precipitation Estimation from Remotely Sensed Information--A Combined Passive Microwave/IR Algorithm using Cloud Classification System, in *Proceedings of 30th IEEE International Symposium on Remote Sensing of Environment, Honolulu, Hawaii*
- Hsu, K.L.*, **Y. Hong**, and S. Sorooshian, 2006: Rainfall Estimation using A Cloud Patch Classification Map, *Measuring Precipitation from Space*, Edited by European Space Agency, Kluwer Publisher.
- Hsu, Kuo-lin*, **Y. Hong**, and S. Sorooshian, 2005: Self-Organizing Nonlinear Output Map (SONO): An Artificial Neural Network suitable for Cloud-patch based Rainfall Estimation, *AMS Trans.*
- Huffman, G.J., R.F. Adler, D.T. Bolvin, G. Gu, E.J. Nelkin, K.P. Bowman, **Y. Hong**, E.F. Stocker, D.B. Wolff, 2006: The TRMM Multi-satellite Precipitation Analysis: Quasi-Global, Multi-Year, Combined-Sensor Precipitation Estimates at Fine Scale. *J. Hydrometeor.*, to appear
- Moradkhani, Hamid; K. Hsu, **Y. Hong**, S. Sorooshian, 2006: Investigating the impact of remotely sensed precipitation and hydrologic model uncertainties on the ensemble streamflow forecasting, *Geophys. Res. Lett.*, Vol. 33, No. 12, L12107, 10.1029/2006GL026855
- Sorooshian, S*, X. Gao, K.L. Hsu, R.A.Maddox, **Y.Hong**, H.V. Gupta, and B. Imam, 2002: Diurnal Variability of Tropical Rainfall Retrieved from Combined GOES and TRMM Satellite Information. *Journal of Climate*: Vol. 15, No. 9, pp. 983–1001.
- Sorooshian, Soroosh*, K. Hsu, **Y. Hong**, 2005, *Global Precipitation Estimation from Satellite Image Using Artificial Neural Networks*, Book chapter in *Hydrological Modeling in Arid and Semi-arid areas*, in Press, published by UNESCO
- Hong, Yang* and Jing Cao, 2000, Urban Water Resources Index System of Integrated Assessment, *Journal of Shanghai Environmental Sciences*, Vol. 19, No. 6, 269-272.
- Hong, Yang* and S.J. Luan, 1999, Numerical Modeling of Kuznets's Relationship between Environmental Quality change and Economic Growth, *Journal of Shanghai Environmental Sciences*, Vol. 18, No. 3, 112-115
- Hong, Yang* and S.J. Luan, 1999, Water Resources Demand Management during Trans-Century, *Journal of Global Environment*, No. 1, 21-23.
- Hong, Yang* and S.J. Luan, 1999: The current State of Global Environment and its Outlook, *Journal of Global Environment*, No. 3, 11-21.
- Hong, Yang*, 1999, China's Water Management and Planning: the Past, the Present, and the Future, *Journal of Environment Protection*, No. 4, 29-32
- Hong, Yang* and W.H. Ye, 1998, Theoretical Analysis of Sustainable Environmental Carrying Capacity: Calibration and Application on Regional Environmental Planning, *China Population, Resources and Environment*, Vol. 8, No.3, 54-59
- Hong, Yang* and S.J. Luan, 1998, China's Water Security in 21st Century, *Journal of China Environmental Management*, No.4, 3-8
- Hong, Yang* 1998, Transformation of the Natural Resource-based Economy Growth to Sustainable Development, *Journal of Future and Development*, No. 2, 7-11
- Hsu, K.L.*, **Y. Hong**, and S. Sorooshian, 2006: Rainfall Estimation using A Cloud Patch Classification Map, *Measuring Precipitation from Space*, Edited by European Space Agency, Kluwer Publisher.

Author Biography

洪阳, 北京大学学士, 硕士 (环境规划与管理); 博士毕业于美国亚利桑那大学工程学院连续 7 年排名第一的水文水资源系, 师从院士 Soroosh Sorooshian, 专攻水资源工程学以及卫星遥感技术和空间分析。曾先后在美国 National Science Foundation SAHRA Center 和 University of California Irvine 工作。现为美国国家宇航局 NASA Goddard Space Flight Center 的研究科学家。出版著作 3 部, 发表学术论文 30 余篇。现为 Journal of Natural Hazards 专刊主编, Deputy Chair of Precipitation Technique Committee under American Geophysical Union。曾获得北京大学研究生学术十杰, “挑战杯”青年科学家奖, 以及 UC Irvine Faculty Career Development Award 等多项奖励。入选美国 NASA 的“全球卫星降雨观测计划” TRMM/GPM 科学家团队, 并作为宇航局主要科学家之一参加研究成果的新闻发布会 (http://www.nasa.gov/vision/earth/lookingatearth/flood_landslide.html)。从 2006 年在中科院和北京大学兼职。

科研方向: 水文水资源工程, 人工神经网络, 环境气象卫星应用技术, 卫星遥感降雨反演理论和方法, 数字图像分析, 基于卫星遥感技术的环境气象灾害实时监测和预报, GIS, 环境系统工程, 资源能源最优化计算与模拟, 全球气候变化, 资源-环境-经济-社会的可持续发展。在北大期间于 1998 年首先提出了“中国二十一世纪的水完全问题”, 并致力于中国环境资源经济的可持续发展研究。

主要学术成就

开发卫星红外云图 Segmentation 的新软件: Hierarchical Incremental Temperature Thresholding; 协助开发出卫星降雨观测系统 PERSIANN, 是美国高校唯一提供全球卫星实时降雨产品的研究团队 (<http://hydis0.eng.uci.edu/CEOP/>); 开发新一代 Multi-platform multi-sensor 卫星降雨实时系统是系统: PERSIANN-CCS; 在北美建立了卫星实时监测网络系统 (<http://hydis8.eng.uci.edu/CCS/>); 开发**基于卫星遥感技术的高精度降雨实时监测并运用于环境气象灾害预报**; 创立非线性人工智能模型 Self-Organizing Nonlinear Output (SONO) 模型; 开发并集成 Watershed Segmentation and Hierarchical Growing Self-organizing Feature Mapping, 提高对环境资源变量的动态观测到精度, 并建立卫星环境资源数据; 在美国宇航局参与并成功开发了

全 球 灾 害 实 时 监 测 系 统 :
(http://trmm.gsfc.nasa.gov/publications_dir/potential_flood.html) and
(http://trmm.gsfc.nasa.gov/publications_dir/potential_landslide.html) 。

